Modelling and Validation of Hybrid Heavy Duty Vehicles with Exhaust Aftertreatment Systems

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Abstract

A model of a hybrid electric vehicle including an aftertreatment system is developed and validated. The model describes a vehicle with the same parallel hybrid architecture that is commonly used in commercial heavy duty vehicles and is validated using data gathered from vehicles during real world driving. The goal with the model is to describe the main dynamics of the system and give accurate estimations of fuel consumption and emissions while at the same time keeping simulation times short. The model consists of several sub components, out of which the most important ones are: combustion engine, electric motor, aftertreatment system, driveline, and vehicle chassis. The different components are interchangeable making it possible for the user to change specific components to make the model fit their needs.

Keywords: hybrid heavy duty vehicle, aftertreatment system, vehicle model

1 Introduction

When designing controls systems, models of the system often makes the work significantly easier. This is because the development can be done using simulations which often is faster, and cheaper than using the real system. To facilitate model based development there is a need to have simulation models for the system, and development of models is therefore important. Well documented models for conventional and hybrid heavy duty vehicles exist. Some models of hybrid vehicles with aftertreatment systems also exist, like (Willems and Foster, 2009), however, to the authors knowledge no work where the interplay between the aftertreatment system and the hybrid vehicle has been done, to make sure the model can handle all conditions that arise in a hybrid vehicle where the engine can be shut of. Therefore we here aim to fill this gap.

1.1 Contributions

The main contribution is a complete vehicle model with powertrain, vehicle chassis, and after treatment system. In addition, some new component models have been created and a study where the interplay between the aftertreatment system and the hybrid vehicle has been done, to make sure the model can handle all conditions that arise in a hybrid vehicle where the engine can be shut of.

2 Modeling

The hybrid architecture used in the model is a parallel configuration where the engine and motor is connected before the gearbox using a torque coupler. The gearbox is then used to connect the torque coupler with the wheels. In Figure 1 the vehicle configuration and the different components in the model are shown.

The sub-models are taken from previous work. However, some work have been done to make the models work in a hybrid vehicle application where the engine is sometimes turned of and the mass flow through the engine and aftertreatment system is zero. Also, a few new models have been developed that describe effects that are negligible in conventional vehicles but become clear in hybrid vehicles. These effects mainly come from that a hybrid vehicle can shut of the engine resulting in zero mass flow through the engine and aftertreatment system. In this section the sub-models are presented.

2.1 Engine

The engine model is taken from (Wahlström and Eriksson, 2011). Some modifications have been done to the model so that it is able to simulate zero exhaust mass flow when the engine is turned of and the model has also been extended with models for a compression release brake, an exhaust brake (or back pressure valve), and models for the composition of the exhaust gas. The model is complex
and well explained in (Wahlström and Eriksson, 2011), therefore only the essential equations and the modifications made to the model are presented here.

The remainder of this section is organized as follows: first the states in the model is described, then the model of the compression release brake is presented followed by an explanation of how the model has been modified to allow zero mas flow, and finally some of the most important equations in the model are presented.

2.1.1 States

The model has 9 states, out of these, four describe the main dynamics of the system and they are pressure in the intake manifold, $p_{im}$, pressure in the exhaust manifold, $p_{em}$, pressure before the back pressure valve, $p_{bpv}$, and turbo speed, $\omega_t$. Two states describe oxygen mass fraction, one in the intake manifold, $X_{O_{im}}$, and one in the exhaust manifold, $X_{O_{em}}$. The last three describe dynamics in the actuators, $u_{egr,1}$ and $u_{egr,2}$ describes the dynamics of the EGR valve and $\bar{u}_{eg}$ describes the dynamics of the VGT.

The differential equations for the manifold pressures are based on isothermal models (Eriksson and Nielsen, 2014), which gives

\[
\begin{align*}
\dot{p}_{im} &= \frac{R_a T_m}{V_{im}} (W_c + W_{egr} - W_{ei}) \quad (1) \\
\dot{p}_{em} &= \frac{R_a T_m}{V_{em}} (W_{eo} - W_t - W_{egr}) \quad (2) \\
\dot{p}_{bpv} &= \frac{R_a T_{atm}}{V_{bpv}} (W_t - W_{bpv}) \quad (3)
\end{align*}
\]

where $W_i, i \in \{c, egr, ei, eo, bpv\}$ is the mass flows in and out of the volumes, $W_c$ is the compressor mass flow which mainly depends on $\omega_t$ and $p_{im}$. $W_{egr}$ is the exhaust gas recirculation mass flow which mainly depends on the ratio $p_{im}/p_{em}$ and the control signal $u_{egr}$. $W_{ei}$ is the cylinder-in mass flow which mainly depends on $p_{im}$ and $n_e$. $W_{eo}$ is the engine out mass flow which is the sum of $W_{ei}$ and the injected amount of fuel. $W_{bpv}$ is the mass flow past the back pressure valve which is explained below.

The dynamics of the turbo speed follows Newton’s second law

\[
\dot{\omega}_t = \frac{P_t \eta_m - P_c}{J_t \omega_t} \quad (4)
\]

where $P_t$ is the power delivered by the turbine, $P_c$ is the power required by the compressor, $J_t$ is the turbo inertia, and $\eta_m$ is the mechanical efficiency of the turbocharger. $P_t$ mainly depends on the ratio $p_{em}/p_{bpv}$, $T_{em}$, and the control signal $u_{egr}$. $P_c$ mainly depends on the ratio $p_{im}/p_{amb}$.

The differential equations for the oxygen mass fractions are

\[
\begin{align*}
\dot{X}_{O_{im}} &= \frac{R_a T_m}{p_{im} V_{im}} ((X_{O_{em}} - X_{O_{im}}) W_{egr} - (X_t - X_{O_{im}}) W_{eo}) \quad (5) \\
\dot{X}_{O_{em}} &= \frac{R_a T_m}{p_{em} V_{em}} ((X_t - X_{O_{em}}) W_{eo} - (X_{O_{im}} - X_{O_{em}}) W_{egr}) \quad (6)
\end{align*}
\]

where $X_O = 23.14\%$ is the oxygen concentration of air passing through the compressor and $X_O$ is the oxygen concentration of the exhaust gases coming from the engine.

The states $u_{egr,1}$ and $u_{egr,2}$ are both first order systems with $u_{egr}$ as input and together they make up the dynamics of the EGR valve. The state $\bar{u}_{vgt}$ is a first order system with $u_{vgt}$ as input and describe the dynamics of the VGT.

2.1.2 Compression release brake

To model the compression release brake we study an ideal cycle shown in Figure 2. The cycle is without combustion and a compression release is done after top dead center at a volume $v_c \geq v_t$.

**Exhaust Temperature**

To get the exhaust temperature, when using the compression release brake, we start by calculating temperature at the different steps in the cycle.

**Compression (1-2)**

\[
T_2 = T_1 \left( \frac{v_1}{v_2} \right)^{\gamma-1} = \dot{r}_c^{\gamma-1} \quad (7)
\]

**Expansion (2-3)**

\[
T_3 = T_1 \left( \frac{v_2}{v_3} \right)^{\gamma-1} = p_1 \left( \frac{v_1}{v_2} \right)^{\gamma-1} \left( \frac{v_2}{v_3} \right)^{\gamma-1} = T_1 \left( \frac{v_1}{v_3} \right)^{\gamma-1} \quad (8)
\]

**Compression release (3-4)**

\[
T_4 = T_3 = T_{exh} \quad (9)
\]
The exhaust temperature is thus

\[ T_{exh} = T_1 \left( \frac{v_1}{v_3} \right)^{\gamma-1} \]  

(10)

as can be seen it depends on the ration between \( v_1 \) and \( v_3 \), we therefore introduce

\[ r_r = \frac{v_1}{v_3} \in [1, r_c] \]  

(11)

as a tuning parameter.

**Brake Torque**

To calculate the brake torque we first calculate the work done during this part of the cycle

\[ W_{crb} = \int_{1-5} (p - p_{amb}) dv = \int_{v_1}^{v_2} pdv + \int_{v_2}^{v_3} pdv \]

\[ + \int_{v_3}^{v_4} pdv + \int_{v_4}^{v_5} pdv - \int_{1-5} p_{amb} dv \]

\[ = \int_{v_1}^{v_5} p_1 \left( \frac{v_1}{v_3} \right)^\gamma dv + \int_{v_1}^{v_5} p_{em} dv \]

\[ = \left[ \frac{p_1}{1 - \gamma} \right]^{v_5}_{v_1} + (v_5 - v_4)p_{em} \]

\[ = \frac{p_1 v_1}{1 - \gamma} \left( \left( \frac{v_1}{v_3} \right)^\gamma - 1 \right) + (v_5 - v_4)p_{em} \]  

(12)

this term can then be added to the torque model.

**2.1.3 Engine Shutdown and Zero Mass Flow**

Since the engine model contains some singularities at zero mass flow the original model has a saturation on the engine speed that ensures that the mass flow does not get to low. This saturation has been set at 400 RPM, which is well below the normal working range of the engine, however, in a hybrid, where the engine can be shut down, this causes problems since the engine then continues to blow cold air through the aftertreatment system.

To remedy this a switching function is used to calculate the exhaust mass flow, \( W_{exh} \). The switching function chooses between the turbine mass flow, \( W_t \), from the original model and a mass flow calculated based on the volumetric efficiency, \( \eta_{vol}(p_{in}, N_e) \), of the engine in the following way

\[ W_{exh} = \begin{cases} W_t, & N_e \geq 400 \\ \frac{\eta_{vol}(p_{in}, N_e) p_{em} N_e}{120 N_e N_t}, & N_e < 400 \end{cases} \]  

(13)

The result of the switching function can be seen in Figure 3. In the figure the engine is first idling at around 500 RPM and after one second the engine is turned off. As can be seen the exhaust mass flow in the original model first decreases but when the engine speed reaches 400 RPM the exhaust mass stops falling and remains constant, for the extended model however the exhaust mass flow continues to drop all the way to zero. It should also be noted that the switching between the two models is smooth.

![Figure 3. Figure showing mass flow and engine speed during an engine shutdown from both the original, saturated, model and the extended model.](image)

**2.1.4 Equations**

Here the equations for the engine torque, turbine mass flow, back pressure valve, exhaust temperature, and exhaust gas conditions are presented.

**Engine torque**

The engine torque, \( M_e \), is modeled using four components: gross indicated torque, \( M_{ig} \), pumping torque, \( M_p \), friction torque \( M_{fric} \), and brake torque form the compression release brake, \( M_{crb} \), in the following way

\[ M_e = M_{ig} - M_p - M_{fric} + M_{crb}. \]  

(14)

The pumping work is calculated as

\[ M_p = V_d \frac{4 \pi}{V_d} (p_{em} - p_{in}), \]  

(15)

the gross indicated torque is calculated according to

\[ M_{ig} = \frac{\mu q n_{cyl qHV} \eta_{igch} \left( 1 - \frac{1}{n_{cyl qHV}} \right)}{4 \pi}, \]  

(16)

the friction torque is calculated using

\[ M_{fric} = \frac{V_d}{4 \pi} \left( c_{fric 1} \frac{n_e}{100} + c_{fric 2} \frac{n_e}{100} + c_{fric 3} \right), \]  

(17)

and the brake torque from the compression release brake is calculated as described in Section 2.1.2.
Turbo mass flow

The turbo mass flow is modeled as

\[ W_t = \frac{A_{vgt,max}P_{em}f_{p}(\tau_{vgt})f_{vgt}(\dot{\theta}_{vgt})}{\sqrt{T_{em}R_e}} \]  

(18)

where \( f_p \) and \( f_{vgt} \) are functions defined in (Wahlström and Eriksson, 2011). However, due to singularities in the model the engine and turbo speed have lower limit which implicitly induces a lower limit on \( W_t \). Therefore, at engine speeds lower than this limit the turbo mass flow is instead taken as the mass flow given by the speed and volumetric efficiency of the engine:

\[ W_t = \frac{\eta_{vol}P_{in}V_d}{20R_aT_{im}} \]  

(19)

where the volumetric efficiency, \( \eta_{vol} \), is modeled as

\[ \eta_{vol} = c_{vol,1}\sqrt{P_{in}} + c_{vol,2}\sqrt{\eta_e} + c_{vol,3}. \]  

(20)

Back pressure valve

To model the back pressure valve a control volume with volume, \( V_{bpr} \), and pressure, \( P_{bpr} \), is first added after the turbine. The inflow to this control volume is the turbo mass flow and the out flow is the flow past the back pressure valve. The flow past the back pressure valve is modeled using a throttle mass flow model (Eriksson and Nielsen, 2014)

\[ W_{bpr} = \frac{P_{bpr}}{RT_{im}}A_{bpr}\Psi_{li}(\Pi) \]  

(21)

where

\[ \Pi = \max \left( \frac{P_{bpr}}{P_{eats}}, \left( \frac{2}{\gamma + 1} \right) \frac{T_{vgt}}{T_{ Tamb}} \right) \]  

(22)

and

\[ \Psi_{li}(\Pi) = \begin{cases} \sqrt{\frac{2\gamma}{\gamma - 1}} \left( \frac{\Pi_v^2 - \Pi_{li}^2}{\gamma - 1} \right), & \Pi \leq \Pi_{li} \\ \frac{\Pi_v^2 - \Pi_{li}^2}{\gamma - 1}, & \Pi > \Pi_{li} \end{cases} \]  

(23)

The linear region, \( \Pi > \Pi_{li} \), is used to overcome problems when simulating the system that comes from that the \( \Psi \) does not fulfill the Lipschitz condition when the pressure ratio is equal to one (Eriksson and Nielsen, 2014).

Exhaust temperature

The cylinder-out temperature, \( T_c \), is modeled using calculations for an ideal Seliger cycle and is explained in (Wahlström and Eriksson, 2011) when the compression release brake is not used. When the compression release brake is used \( T_c \) is modeled as described in Section 2.1.2. Between the turbine and cylinder heat losses are modeled so that the temperature before the turbine, \( T_{em} \), is colder than the cylinder-out temperature.

The temperature after the turbine is calculated using the turbine efficiency, \( \eta_t \), defined in (Heywood, 1988), giving

\[ T_{aft. turb} = T_{em} \left( 1 - \frac{1}{\eta_t} - \frac{1}{\Pi_{li}^{\frac{1}{\gamma}}} \right) \]  

(24)

The temperature of the pipe between the engine and the EATS is governed by the following dynamic model (Eriksson, 2002)

\[ \dot{\theta}_t = \dot{Q}_t(T_{eats} - T_{aft. turb}) - \dot{Q}_e(T_{eats} - T_{ Tamb}) \]  

(25)

where

\[ \dot{Q}_t = h_{g,t}A(T_{aft. turb} - T_{eats}), \]  

(26)

\[ h_{g,t} = 1 - e^{-\frac{h_{cv,t}}{W_{cp}}} \]  

(27)

and

\[ \dot{Q}_e = A \left( h_{cv,e}(T_{eats} - T_{ Tamb}) + F_i\varepsilon \sigma \left(T_{eats} - T_{ Tamb} \right)^4 \right). \]  

(28)

The temperature of the gas entering the EATS can now be calculated as

\[ T_{EATS} = T_{eats} + (T_{aft. turb} - T_{eats})e^{-\frac{h_{cv,e}}{W_{cp}}} \]  

(29)

Exhaust gas conditions

The \( NO_x \), \( NO_x \), and \( O_2 \) concentrations are calculated based on maps depending on the engine torque and speed. The rest of the values are taken direct as the values given by the dynamic engine model.

2.2 Electrical Components

Here the models of the electrical components in the powertrain are described.

2.2.1 Battery

The battery model is based on a Thévelin equivalence circuit with an open circuit voltage, \( U_{oc} \), that depends on the state of charge, \( SOC \), and internal resistance \( R_i \).

The battery current, \( I_b \), is calculated as

\[ I_b = \frac{U_{soc} \left( SOC \right)}{2R_i} = \sqrt{\frac{U_{soc}^2 \left( SOC \right)^2}{4R_i^2} - P_b \left( SOC \right)} \]  

(30)

where \( P_b \) is the terminal power of the battery, \( R_i \) is the internal resistance of the battery, and \( U_{soc} \) is the open circuit voltage. The dynamics of the \( SOC \) is

\[ \dot{SOC} = -\frac{I_b}{Q_0} \]  

(31)

and \( U_{soc} \) is calculated using maps based on the \( SOC \).
2.2.2 Power Electronics

The model of the power electronics takes a desired motor power, $P_{m,d}$, and the required power from the auxiliary units, $P_{aux}$, and calculates the necessary battery power, $P_b$, and actual electrical power to the motor $P_{m,e}$. Since the losses in the power electronics are included in the model, the power electronics is modeled as ideal. This means that the model of the power electronics only have to ensure that the battery and electric machine work within their limits. The battery power is calculated as

$$P_b = \begin{cases} P_{b,max}, & P_{m,d} + P_{aux} \geq P_{b,max} \\ P_{b,min}, & P_{m,d} + P_{aux} \leq P_{b,min} \end{cases}$$ \hspace{1cm} (32)

and the motor power is calculated as

$$P_{m,e} = \begin{cases} P_{m,max} - \bar{P}_{aux}, & P_{m,d} \geq P_{m,max} - \bar{P}_{aux} \\ P_{m,d}, & P_{m,d} - \bar{P}_{aux} \leq P_{m,min} \\ P_{m,min} - \bar{P}_{aux}, & P_{m,d} \leq P_{m,min} \end{cases}$$ \hspace{1cm} (33)

where

$$\bar{P}_{aux} = \begin{cases} P_{aux}, & P_{aux} \geq P_{b,max} \\ P_{aux}, & P_{aux} \leq P_{b,min} \\ P_{aux}, & P_{aux} \leq P_{b,min} \end{cases}$$ \hspace{1cm} (34)

2.2.3 Electric Motor

The motor model is a static model of a permanent magnet synchronous machine taken from (Sundström et al., 2015). When the model is parameterized the losses in the power electronics are included and in that way the model also include these losses. The current in the stator, $I_m$, is calculated as

$$I_m = \frac{1}{R_m} (U_m - k_i \omega_m)$$ \hspace{1cm} (35)

where, $U_m$, is the voltage over the motor, calculated as

$$U_m = \frac{k_i \omega_m}{2} + \sqrt{\frac{k_i^2 \omega_m^2}{4} + P_{m,e} R_m}$$. \hspace{1cm} (36)

The output torque is calculated as

$$M_m = k_a I_m - c_f \omega_m$$ \hspace{1cm} (37)

where $k_a$ is defined as

$$k_a = \begin{cases} k_i \eta_m, & I_m \geq 0 \\ k_i, & I_m < 0 \end{cases}$$. \hspace{1cm} (38)

The limits $P_{m,max}$ and $P_{m,min}$ are calculated using maps depending on $n_m$.

2.3 Aftertreatment system

The aftertreatment system consists of three active components: a diesel oxidation catalyst, DOC, a diesel particulate filter, DPF, and a selective catalytic reduction, SCR. The components are also enclosed inside a silencer.

2.3.1 Silencer

The silencer model has one state for the temperature inside the silencer, $T_{inside}$, governed by the following differential equation

$$T_{inside} = \frac{h_{solid-air} (a_{doc} (T_{doc} - T_{inside}) + A_{df} (T_{df} - T_{inside}) + A_{scr} (T_{scr} - T_{inside}) + A_{shell} (T_{shell} - T_{inside}))}{c_{p air} m_{air}}$$ \hspace{1cm} (39)

and one state for the temperature of the shell of the silencer, $T_{shell}$, governed by the following differential equation

$$T_{shell} = \frac{A_{shell} (h_{solid-air} (T_{inside} - T_{shell}) + h_{solid-amb} (T_{amb} - T_{shell}))}{c_{p air} m_{air}}$$ \hspace{1cm} (40)

2.3.2 Energy Balance Modeling

To model the temperature inside the substrates the models in (Winkler et al., 2003; Van Helden et al., 2004) is used as a starting point. The following energy balance is used in the gas phase

$$\varepsilon \rho_g C_{p,g} \frac{dT_g}{dt} = -v \varepsilon \rho_g C_{p,g} \frac{\partial T_g}{\partial x} - h_{g+\alpha} \alpha_{g+\alpha} (T_g - T_s)$$ \hspace{1cm} (41)

and in the solid phase

$$(1 - \varepsilon) \rho_c C_{p,s} \frac{dT_s}{dt} = (1 - \varepsilon) \lambda_s \frac{\partial^2 T_s}{\partial x^2} + h_{g+\alpha} \alpha_{g+\alpha} (T_g - T_s) - h_{s+\alpha} \alpha_{s+\alpha} (T_s - T_a) + \sum_{reactions} r_j \Delta H_j$$ \hspace{1cm} (42)

To simplify the model the following assumptions are made

1. Instantaneous equilibrium between brick material and exhaust gas, resulting in $dT_g/dt = 0$ and $T_s = T_g$

2. Conductive heat transport $<<$ convective heat transport, and the term $(1 - \varepsilon) \lambda_s \frac{\partial^2 T_s}{\partial x^2}$ in (42) can therefore be omitted
3. No significant endothermic or exothermic reactions, i.e. we assume 
\[ \sum_{\text{reactions}} r_j \Delta H_j = 0 \]
Using the assumption \( dT_g / dt = 0 \) and (41), we get

\[ v \varepsilon p_g C_{p,g} \frac{dT_g}{dx} = -h_{g+n \leftrightarrow g} (T_g - T_s) \]  (43)

Using assumptions 1 and 2, (42) becomes

\[ (1 - \varepsilon) \rho_s C_{p,s} \frac{dT_s}{dt} = h_{g+n \leftrightarrow g} (T_g - T_s) - h_{n_{\text{sens}}} (T_s - T_a) \]  (44)

By combining (43), (44) and the assumption that \( T_g = T_s \), we get

\[ (1 - \varepsilon) \rho_s C_{p,s} \frac{dT_s}{dt} = -v \varepsilon p_g C_{p,g} \frac{dT_s}{dx} - h_{n_{\text{sens}}} (T_s - T_a) \]  (45)

On a catalyst segment of length \( L \) we can use the following approximation

\[ \frac{\partial T_s}{\partial x} = \frac{T_{s,\text{out}} - T_{s,\text{in}}}{L} \]  (46)

where \( T_{s,\text{in}} \) and \( T_{s,\text{out}} \) is the temperature of the gas entering and leaving the segment, respectively. Finally, by combining (45) and (46) we get

\[ \frac{dT_{s,\text{out}}}{dt} = -\frac{1}{(1 - \varepsilon) \rho_s C_{p,s}} \left( v \varepsilon p_g C_{p,g} \frac{T_{s,\text{out}} - T_{s,\text{in}}}{L} \right. \]

\[ \left. - h_{n_{\text{sens}}} (T_{s,\text{out}} - T_a) \right) \]  (47)

### 2.3.3 Temperature Sensors

Since the dynamics of the temperature sensors can be quite significant models for these are needed. The model includes conduction from the gas surrounding the sensor and radiation from the surroundings and is described below.

The density of the gas can, using the ideal gas law, be calculated as

\[ \rho = \frac{p}{RT_g} \]  (48)

using this the velocity of the gas can be calculated using

\[ V = \frac{W_{\text{exh}}}{A_{\text{pipe}} \rho} \]  (49)

The Reynolds number is calculated as

\[ Re = \frac{VD_{\text{sens}}}{\gamma} \]  (50)

where

\[ \gamma = \frac{\mu}{\rho} \]  (51)

The Nusselt number is calculated according to (Holman, 1986) as

\[ Nu = \begin{cases} 0.3 + 0.62 Re^{1/2} Pr^{1/3} \left( 1 + \left( \frac{0.4}{Pr} \right)^{2/3} \right)^{1/4} \left( 1 + \left( \frac{Re}{282000} \right)^{5/8} \right)^{4/5} & \text{if } Re Pr \geq 0.2 \\ 0.8237 - 0.3 \ln(Re Pr) & \text{if } Re Pr < 0.2 \end{cases} \]  (52)

However this gives very low values for low mass flows and therefore a lower saturation, \( Nu_{\text{min}} \), is used.

The heat transfer coefficient can now be calculated as

\[ h = \frac{k_{\text{exh}} Nu}{D_{\text{sens}}} \]  (53)

By assuming that the length of the sensor is twice the diameter of the sensor, the area of the sensor is

\[ A_{\text{sens}} = \pi D_{\text{sens}}^2 \]  (54)

and the mass of the sensor is

\[ m_{\text{sens}} = \rho_{\text{sens}} \frac{\pi D_{\text{sens}}^3}{2} \]  (55)

The dynamics of the sensor can now be written

\[ T_s = \frac{h A_{\text{sens}} (T_g - T_s) - \varepsilon \sigma A_{\text{sens}} (T_s^4 - T_w^4)}{m_{\text{sens}} C_p} \]  (56)

### 2.3.4 Diesel Oxidation Catalyst

The temperature of the DOC calculated as described Section 2.3.2. The oxidation of \( NO \) is calculated using a map depending on the temperature of the DOC and exhaust mass flow.

### 2.3.5 Diesel Particulate Filter

The DPF is split lengthwise into five segments and each segment has a state describing its temperature. The temperature in each segment is calculated as described in Section 2.3.2, and the surface temperature is taken as the mean of the temperatures of all segments. No reactions or filtering is modeled in the DPF.

### 2.3.6 Selective Catalytic Reduction Catalyst

Like the DPF, the SCR is split lengthwise into five segments and each segment has a state describing its temperature and ammonia surface coverage. The temperature in each segment is calculated as described in Section 2.3.2. The reactions and mass balances in the catalyst are modeled similarly to (Winkler et al., 2003; Van Helden et al., 2004) and is described below.

In each segment \( \text{NH}_3 \) adsorption and desorption

\[ \text{NH}_3 \leftrightarrow \text{NH}_3^* \]  (57)
are modeled using the reaction rate expressions

\[
\begin{align*}
  r_a &= k_a^0 \text{exp} \left( \frac{-E_a^0}{R} \left( \frac{1}{T_s} - \frac{1}{T_{ref}} \right) \right) C_{NH_3} \left( 1 - \Theta_{NH_3} \right) \\
  r_d &= k_d^0 \text{exp} \left( \frac{-E_d^0 (1 - \alpha \Theta_{NH_3})}{R} \left( \frac{1}{T_s} - \frac{1}{T_{ref}} \right) \right) \Theta_{NH_3} 
\end{align*}
\]

(58)

(59)

where \( k_i \) and \( E_i^0 \) is the pre-exponential factor and activation energy for reaction \( i \), respectively, \( C_i \) is the concentration of specie \( i \), \( T_s \) is the substrate temperature, and \( \Theta_{NH_3} \) is the ammonia surface coverage.

In the SCR catalyst the following NO\(_x\) reducing reactions modeled are:

\[
\begin{align*}
  4\text{NH}_3 + 4\text{NO} + \text{O}_2 &\rightarrow 4\text{N}_2 + 6\text{H}_2\text{O} \quad (60) \\
  2\text{NH}_3 + \text{NO} + \text{NO}_2 &\rightarrow 2\text{N}_2 + 3\text{H}_2\text{O} \quad (61) \\
  8\text{NH}_3 + 6\text{NO}_2 &\rightarrow 7\text{N}_2 + 12\text{H}_2\text{O} \quad (62)
\end{align*}
\]

and they are modeled using the following reaction rate expressions

\[
\begin{align*}
  r_{std} &= k_{std}^0 \text{exp} \left( \frac{-E_{std}}{R} \left( \frac{1}{T_s} - \frac{1}{T_{ref}} \right) \right) C_{NO} \Theta_{NH_3} \\
  r_{f st} &= k_{f st}^0 \text{exp} \left( \frac{-E_{f st}}{R} \left( \frac{1}{T_s} - \frac{1}{T_{ref}} \right) \right) C_{NO} C_{NO_2} \Theta_{NH_3} \\
  r_{slw} &= k_{slw}^0 \text{exp} \left( \frac{-E_{slw}}{R} \left( \frac{1}{T_s} - \frac{1}{T_{ref}} \right) \right) C_{NO_2} \Theta_{NH_3} \\
  \text{NH}_3 \text{ oxidation} \\
  4\text{NH}_3 + 3\text{O}_2 &\rightarrow 2\text{N}_2 + 6\text{H}_2\text{O} \quad (66)
\end{align*}
\]

and species mass balances in the gas phase are modeled by

\[
\begin{align*}
  \frac{dC_{HNCO}}{dt} &= -v \frac{\partial C_{HNCO}}{\partial x} - r_{HNCO} \quad (71) \\
  \frac{dC_{NH_3}}{dt} &= -v \frac{\partial C_{NH_3}}{\partial x} - \Omega(r_a - r_d) + r_{HNCO} \quad (72) \\
  \frac{dC_{NO}}{dt} &= -v \frac{\partial C_{NO}}{\partial x} - \Omega(4r_{std} + r_{f st} + r_{slw}) \quad (73) \\
  \frac{dC_{NO_2}}{dt} &= -v \frac{\partial C_{NO_2}}{\partial x} - \Omega(r_{f st} + 6r_{slw}) \quad (74)
\end{align*}
\]

(74)

where \( v \) is the velocity of the gas in the segment. All of these concentrations can be written on the form

\[
\frac{dC_i}{dt} = -v \frac{\partial C_i}{\partial x} + \sum_j k_j r_j 
\]

(75)

with appropriate choices of \( k_j \). By assuming the catalyst is working as a plug flow reactor, meaning there is no local accumulation of gas phase species, we get

\[
\frac{dC_i}{dt} = 0 \implies \frac{\partial C_i}{\partial x} = \frac{1}{v} \sum_j k_j r_j 
\]

(76)

and for a segment of length \( L \), given the concentration at the inlet, \( C_{i,in} \), we can calculate the concentration at the outlet, \( C_{i,out} \), using the approximation

\[
C_{i, out} = C_{i, in} + \frac{L}{v} \sum_j k_j r_j. 
\]

(77)

When using this approximation we get a singularity at \( v = 0 \). However, this can easily be handled by limiting \( v \) and not let it become to smaller than a given value. By choosing a small enough limit on \( v \) the model can still produce accurate results since for small \( v \) the mass flow out of the aftertreatment system is small and does not influence the results very much.

### 2.3.7 Pressure Drop

The back pressure from the EATS, \( P_{eats} \), is modeled by a control volume between the turbine and the EATS using following differential equation

\[
P_{eats} = \frac{R_a T_{eats}}{V_{eats}} (W_i - W_{eats}) 
\]

(78)

where the, \( W_{eats} \), is the mass flow thorough the EATS. To get \( W_{eats} \) the EATS is modeled as a incompressible turbulent restriction (Eriksson and Nielsen, 2014), giving us

\[
W_{eats} = \left\{ \begin{array}{ll} 
C_{i,u} \sqrt{\frac{P_{eats}}{R_{eats}}} \sqrt{\Delta p} / \Delta p_{lin}, & \Delta p \geq \Delta p_{lin} \\
C_{i,u} \sqrt{\frac{P_{eats}}{R_{eats}}} \Delta p / \Delta p_{lin}, & \Delta p < \Delta p_{lin}
\end{array} \right.
\]

(79)

where \( \Delta p = P_{eats} - P_{amb} \), and \( \Delta p_{lin} \) is the size of the linear region and is used to make the model Lipschitz continuous.
2.4 Driveline

The driveline model consists of four components: a friction clutch, a dog clutch, a torque coupler, and a transmission. Here the equations of the components are described using the notation shown in Figure 5.

**Torque coupler**

The torque coupler connects the engine and the motor. To match the speed of the components a gear ratio, \( i_{tc} \), is used. The equations for the torque coupler becomes

\[
\begin{align*}
M_e &= \bar{M}_e + i_{tc} \bar{M}_m \quad (80) \\
J_e &= \bar{J}_e + i_{tc}^2 J_m \quad (81) \\
n_e &= n_{tc} = i_{tc} n_m \quad (82)
\end{align*}
\]

**Gearbox**

The gearbox has a gear ratio \( i_g = i_g(u_g) \) that connects the speed of the torque coupler with the speed of the wheels

\[
\omega_c = i_g \omega_w. \quad (83)
\]

The efficiency of the gearbox is also modeled using \( \eta_{gb} = \eta_{gb}(u_g) \) in the following way

\[
M_w = \eta_{gb}^{\text{sgn}(M_e)} i_g M_e. \quad (84)
\]

Note that \( \eta_{gb} \) depends on which gear that is engaged (typically the highest gear is more efficient than the rest of the gears).

**Dog clutch**

The dog clutch is used to decouple the motor from the rest of the driveline. The dog clutch can either be locked (\( u_{dc} = 1 \)) or completely open (\( u_{dc} = 0 \)). Mathematically this is expressed as

\[
\begin{align*}
\bar{M}_m &= \begin{cases} 
M_m, & u_{dc} = 1 \\
0, & u_{dc} = 0 
\end{cases} \quad (85) \\
\bar{J}_m &= \begin{cases} 
J_m, & u_{dc} = 1 \\
0, & u_{dc} = 0 
\end{cases} \quad (86)
\end{align*}
\]

**Friction Clutch**

The clutch model is taken from (Eriksson, 2001). The clutch position \( u_c \in [0, 1] \) controls the friction clutch (0 means fully separated clutch and 1 means full force on the clutch discs). The clutch can either be slipping \( \omega_c \neq \omega_e \) or locked \( \omega_c = \omega_e \). When the clutch is slipping the two masses move independently governed by the following differential equations

\[
\begin{align*}
J_e \ddot{\omega}_e &= M_e - M_c \quad (87) \\
J_{tot} \ddot{\omega}_c &= M_c - M_{tot} \quad (88)
\end{align*}
\]

where \( J_{tot} \) and \( M_{tot} \) is the total inertia and torque, respectively, on the wheel side. The total inertia is the sum of the equivalent inertia of the vehicle and motor, which is

\[
J_{tot} = \frac{I_w}{i_g^2} + u_{dc} i_c^2 J_m \quad (89)
\]

and the total torque is

\[
M_{tot} = u_{dc} i_g M_m - \frac{M_w}{i_g}. \quad (90)
\]

In this case the torque transferred through the clutch is

\[
M_c = M_{\text{max}, k} u_{tc} \text{sgn}(w_e - w_c) \quad (91)
\]

When the clutch is locked the two systems should rotate with identical speed. The governing differential equation now becomes

\[
(J_e + J_{tot}) \ddot{\omega}_c = M_c - M_{tot}. \quad (92)
\]

For this to hold the transferred torque through the clutch must be

\[
M_c = \frac{M_{tot} J_e + M_{tot} J_c}{J_e + J_{tot}}. \quad (93)
\]

This torque is also compared with the maximum static torque possible to transfer through the clutch, in order to determine if the clutch should start slipping. More information about the clutch model can be found in (Eriksson, 2001).

2.5 Chassis

The chassis model describes the vehicles interaction with the environment by calculating the resistive forces acting on the vehicle. The total resistive force acting on the vehicle is a sum of four components

\[
F = F_a + F_r + F_g + F_b \quad (94)
\]

where

\[
F_a = \frac{1}{2} \rho C_d A v^2 \quad (95)
\]

is the aerodynamic resistance,

\[
F_r = \cos \alpha \, m \, g \left( C_{r,0} + C_{r,1} v^2 \right) \quad (96)
\]
is the rolling resistance,

\[ F_g = \sin \alpha \ mg \]  

(97)

is the gravitational force, and

\[ F_b = \min(u_b K_b, mg) \]  

(98)

is the force generated by the brakes. The vehicle torque is calculated as

\[ M_{\text{vehicle}} = r_w F. \]  

(99)

and the equivalent vehicle inertia is

\[ J_{\text{vehicle}} = mr_w^2. \]  

(100)

3 Parameterization and Validation

The parameterization and validation have been done using data from two vehicles, some specifications of these vehicles are shown in Table 1. The data that was used consist of measurements from a set of sensors during real world driving. All models are based on physical properties of the system and as a starting point physically reasonable values on all parameters are chosen, but to get a better agreement with measurements tuning of some parameters have been done. The rest of this section contains the parameterization and validation of the different components in the model.

### Table 1. Vehicle specifications

<table>
<thead>
<tr>
<th>Type</th>
<th>Vehicle 1</th>
<th>Vehicle 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Engine</td>
<td>Bus</td>
<td>Truck</td>
</tr>
<tr>
<td>Motor</td>
<td>100 kW / 900 Nm</td>
<td>136 kW / 1050 Nm</td>
</tr>
<tr>
<td>Weight</td>
<td>14.5 Tonnes</td>
<td>14.5 Tonnes</td>
</tr>
</tbody>
</table>

3.1 Engine

In the engine model the parameters in Table 2 was tuned. \( V_d \) was taken as the actual engine size of the vehicle. The rest of the parameters were tuned using the fact that the change in mass flow is proportional to the change in engine size, i.e.

\[ W = \gamma W_{\text{org}} \]  

(101)

where

\[ \gamma = \frac{V_d}{V_d,\text{org}} \]  

(102)

where the index \( \text{org} \) refers to the mass flow and size of the original engine. From the model equations we also get that

\[ R_c \propto W^{1/3} \]  

(103)

\[ R_t \propto W^{1/3} \]  

(104)

\[ A_{\text{vgt, max}} \propto W \]  

(105)

and therefore the new values are taken as

\[ R_c = \gamma^{1/3} R_{c,\text{org}} \]  

(106)

\[ R_t = \gamma^{1/3} R_{t,\text{org}} \]  

(107)

\[ A_{\text{vgt, max}} = \gamma A_{\text{vgt, max, org}} \]  

(108)

When validating the engine, the measured engine speed and torque was used as inputs to the model. The rest of the control signals were held constant, \( VGT \) at 60\%, EGR fully closed, and no engine brakes was used. From the measured engine torque the appropriate amount of fuel was calculated using the inverse of the torque model. The result from vehicle 2 is shown in Figure 6, where it can be seen that the exhaust flow from the model agree well with the measured. However, the temperature does not agree quite as well. One possible explanation for this is that relatively small errors in mass flow induce large errors in the temperature, and therefore the model can be better than what this figure gives the impression of.

### Table 2. Tuned parameters in the engine model.

<table>
<thead>
<tr>
<th>( V_d )</th>
<th>Engine displacement</th>
</tr>
</thead>
<tbody>
<tr>
<td>( R_c )</td>
<td>Compressor radius</td>
</tr>
<tr>
<td>( R_t )</td>
<td>Compressor radius</td>
</tr>
<tr>
<td>( A_{\text{vgt, max}} )</td>
<td>Maximal VGT area</td>
</tr>
</tbody>
</table>

### Figure 6. Measured and simulated exhaust mass flow and exhaust temperature for vehicle 2.

3.2 EATS

In the EATS model the parameters in Table 3 was tuned. The lengths \( L_i \) and diameters \( D_i \) was scaled, compared to the original model, so that the volumes of the components scaled proportional to the change in maximal mass flow. The rest of the parameters was tuned by simulating the system using the measured mass flow and temperature before the DOC as inputs.

Since the measured temperature before the DOC was measured with a temperature sensor, the measurements...
also include the dynamics of the sensor. Therefore the measurements was first inverse filtered. By using the following model for the sensor

\[
\hat{T}_s = C_s h(W_{exh})(T_g - T_s)
\]

where \(T_s\) is the temperature measured by the sensor, \(T_g\) is the temperature of the exhaust gas, \(C_s\) is a tuning constant, and \(h\) is defined in (53). Using this we can calculate \(T_g\) as

\[
T_g = T_s + \frac{1}{C_s h(W_{exh})} T_s.
\]

\(\hat{T}_s\) was calculated numerical from the measurements and \(C_s\) was chosen so that the time constant of the sensor was around 7 seconds at a mass flow of an idling engine. The filtered measurements and time constants for the sensor can be seen in Figure 7.

**Table 3.** Tuned parameters in the EATS model.

| \(L_i\) | Length of component \(i \in \{doc, dpf, scr\}\) |
| \(D_i\) | Diameter of component \(i \in \{doc, dpf, scr\}\) |
| \(\rho_{solid,i}\) | Density of component \(i \in \{doc, dpf, scr\}\) |
| \(h_{solid+amb}\) | Heat transfer coefficient, solid to ambient |
| \(h_{solid+air}\) | Heat transfer coefficient, solid to air |

Figure 7. Inverse filtered temperature measurements and time constant for the temperature sensor.

The validation for vehicle 1 and 2 can bee seen in Figure 8 and Figure 9, respectively. As can bee seen the model and measurements agree well at higher mass flows. When the mass flow is zero, however, they do not agree well, but when the mass flow increases they quickly converge again. No validation data for the temperature after the SCR was available, but in Figure 10 measured temperature after the DPF and modeled temperature after the SCR, for vehicle 2, is shown. As expected the temperature after the SCR is a slightly smoothed version of the temperature after the DPF, however, fast transient due to changes in mass flow are not smoothed.

3.3 Electrics

The parameters for the motor model was estimated, using least squares, from a map of the power losses of a motor that was produced by a motor design tool developed in (Le Berr et al., 2012). The parameters for the battery was taken from (Energy, 2016).

To validate the model the required current from the motor model, when producing the same torque and at the same speed as the measured, was compared to the measured current. The measured current also include the current required from auxiliary components and therefore a current equivalent to a power of 10 kW was subtracted from the measured current. The result for vehicle 1 is shown in Figure 11, where it can be seen that the modeled and measured current mostly agree well, especially considering that the power required by the auxiliary components most likely varies a little with the time.

3.4 Chassis

The parameters for the chassis model are taken from (Eriksson et al., 2016). The validation of the chassis model was done by using the measured road slope, motor torque, engine torque, and gear as input signals to the model. The torque from the engine and motor was transformed to appropriate input signals to the engine and motor model by using the inverse of their torque models. Since no information of how the friction brakes were used was available a brake controller was also included. The brake controller
is a proportional controller with a dead band. The dead band is 5 km/h and is included to avoid unnecessary braking. Also, when the measured speed was zero the brakes were applied to make sure the vehicle is standing still even if it is in a down or uphill. The result for vehicle 1 is shown in Figure 12. As can be seen the modeled and measured velocity agree well large parts of the simulation and the simulated speed is about as often higher than the measured as it is lower.

4 Conclusions

A model of a complete hybrid vehicle with an aftertreatment system has been developed and documented. The model contains several subcomponents and is based on the physical properties of the system. Parameterization and validation of the model have been done using measurements gathered from two vehicles during real world driving, and the model has shown to agree well with the measurements.
Figure 12. Measured and simulated vehicle speed or vehicle 1.

References


A Notation and Subscripts

<table>
<thead>
<tr>
<th>Notation</th>
<th>Subscript</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>γ</td>
<td>Specific heat capacity ratio (-)</td>
<td></td>
</tr>
<tr>
<td>ε</td>
<td>Emissivity (-)</td>
<td></td>
</tr>
<tr>
<td>η</td>
<td>Efficiency (-)</td>
<td></td>
</tr>
<tr>
<td>Θ</td>
<td>Surface coverage (-)</td>
<td></td>
</tr>
<tr>
<td>ρ</td>
<td>Density (kg/m³)</td>
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</tr>
<tr>
<td>σ</td>
<td>Stefan-Boltzmann constant (W/m²K⁴)</td>
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<tr>
<td>ω</td>
<td>Rotational speed (rad/s)</td>
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<tr>
<td>A</td>
<td>Area (m²)</td>
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</tr>
<tr>
<td>c_p</td>
<td>Const. pressure specific heat capacity (J/kgK)</td>
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<tr>
<td>D</td>
<td>Diameter (m)</td>
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<tr>
<td>E₀</td>
<td>Activation energy (J)</td>
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<td>F_p</td>
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<td>g</td>
<td>Acceleration due to gravity (m/s²)</td>
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<td>h</td>
<td>Heat transfer coefficient (W/m²K)</td>
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<td>I</td>
<td>Current (A)</td>
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<td>i</td>
<td>Gear ratio (-)</td>
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<tr>
<td>J</td>
<td>Inertia (kgm²)</td>
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<td>Pre-exponential factor (-)</td>
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<td>Torque (Nm)</td>
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<td>Mass (kg)</td>
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<td>Rotational speed (r/min)</td>
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<td>v</td>
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<td></td>
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<tr>
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Subscripts
a Air
aft,turb After turbo
amb Ambient
b Battery
bpv Back pressure valve
c Compressor
crb Compressing release brake
cv Convection
cyl Cylinder
d Displacement
dc Dog clutch
e Internal combustion engine
eats Engine after treatment system
egr Exhaust gas recirculation
ei Engine in
eem Exhaust manifold
eo Engine out
exh Exhaust
f Fuel
fc Friction clutch
fric Friction
g Gearbox
gas Gas
i Internal
ig Indicated gross
igch Ignition chamber
im Intake manifold
m Electric machine
O Oxygen
oc Open circuit
p Pump
t Turbine
us Upstream
vgt Variable geometry turbine
vol Volume
w Wall